

Compliance and Certification Committee Nomination Form

In the capacity of a North American Electric Reliability Corporation (NERC) Board of Trustees (Board)-appointed stakeholder committee serving and reporting directly to the NERC Board, the Compliance and Certification Committee (CCC) will engage with support and advise the NERC Board and NERC Staff regarding all facets of the NERC Compliance Monitoring and Enforcement Program, Organization Registration Program, and Organization Certification Program.

The CCC has an open nomination process. Individuals or recognized industry groups may nominate candidates for consideration. A nominee that represents a NERC member may only be nominated for a sector that matches the NERC member’s sector under the NERC membership list (to check a NERC member’s sector, please consult the [NERC membership list](#)).

Please review the CCC Charter for information regarding the responsibilities of CCC members.

Nominee Information	
Name	Leigh Mulholland
Organization	Capital Power Corporation
NERC Compliance Registry (NCR)	NCR11481
Sector Membership	Merchant Electricity Generator
Title	Chief Compliance Officer
Department, Division, or Unit	Compliance & Ethics Dept., reporting to SVP, Planning, External Relations & Chief Sustainability Officer
Email Address	lmulholland@capitalpower.com
Telephone	(780) 392-5349
Brief biographical sketch of nominee including present position	Leigh Mulholland joined Capital Power in 2007 and was appointed Chief Compliance Officer in September 2015, where she oversees and manages the Corporate Compliance Program on behalf of Capital Power, including developing the annual compliance program and maintaining current knowledge of regulations and laws applicable to the electricity sector in the jurisdictions we operate. In this role, Leigh ensures that the company and employees comply with regulatory requirements and internal policies and procedures. She also interfaces with regulators on a regular basis. Leigh is a Certified Compliance & Ethics Professional (CCEP) and a

	<p>member of the Society of Corporate Compliance & Ethics.</p> <p>Prior to her current position, Leigh was VP, Corporate Strategy & Planning and was responsible for Capital Power’s long-term plan and the annual business planning process. She has led the commercial responsibilities for the Alberta coal fleet as well as some business development activity. Previous roles included Sr. Manager, Business Valuations, Financial Analyst and Financial Accountant.</p> <p>Prior to joining Capital Power, Leigh worked in the investment management industry.</p> <p>In addition to the CCEP designation, Leigh is a Certified Public Accountant (CPA – Illinois) and a Chartered Global Management Accountant (CGMA).</p> <p>She holds an MS in Risk Management (NYU) & Accounting (University of IL, Chicago) and an MA in Economics (TCU). She completed her BA in Economics and Political Science, also from TCU.</p>
<p>Description of nominee’s general compliance experience/knowledge (e.g., regional council compliance committee, corporate compliance, etc.)</p>	<p>Leigh was appointed Chief Compliance Officer in September 2015, where she oversees and manages the corporate compliance function within Capital Power, including developing and maintaining the annual compliance program and maintaining current knowledge of regulations and laws applicable to the electricity sector in the jurisdictions we operate. In this role, Leigh ensures that the company and employees comply with regulatory requirements and internal policies and procedures. She is a member of the Canadian Ethics Management Council as well as a founder of the Edmonton Integrity Network. NERC specific regions include WECC, WECC-BC, Alberta (AESO), Ontario (IESO), MRO, and TX RE. She has served on the Compliance Certification Committee since 2018 and is currently the Vice Chair of EROMS.</p>
<p>Description of nominee’s compliance enforcement experience/knowledge (e.g., regulatory process, ERO rules of procedure, NERC sanctioning guidelines etc.)</p>	<p>Responsible for regulatory compliance requirements and accountability for assets in AESO, WECC-BC, IESO, WECC, SERC, TX-RE, ERCOT, MISO, SPP, including compliance monitoring, implementation, and auditing. Compliance enforcement experience/knowledge includes: NERC Sanctions Guidelines; ERO Rules of Procedure; Alberta – Market Surveillance Administrator / AESO / Alberta Utility Commission; IESO/MACD; AESO & ISO market rules compliance & enforcement process for both ISO market rules and reliability standards.</p>

Description of nominee’s compliance administration/management experience/knowledge (e.g., setting up or participation in corporate compliance program)	Accountable for development and ongoing maintenance of Capital Power’s Corporate Compliance Program, including compliance programs over Reliability Standards, ISO Market Rules/Real-Time Operations, Trading Compliance, Anti-Competition, Energy Market Manipulation, and internal policies, such as our Ethics Policy, Respectful Workplace (discrimination, harassment, workplace violence) and Privacy Programs.
Description of nominee’s organizational certification experience/knowledge (e.g., ERO registry criteria familiarity/interpretation etc.)	Familiar with Organization Certification Program and processes.
Description of nominee’s general NERC experience/background (e.g., NERC/RRO Committees, Standard Drafting Teams, Task Force, etc.)	Member of NERC Compliance Certification Committee, since May 2018 Serve as Vice Chair of EROMS, since 2019

Sector Information

Membership sector(s) that nominee is nominated for and is qualified to represent	<input type="checkbox"/> Sector 1 – Investor-owned Utility <input type="checkbox"/> Sector 2 – State/Municipal Utility <input type="checkbox"/> Sector 3 – Cooperative Utility <input type="checkbox"/> Sector 4 – Federal or Provincial Utility/Power Marketing Administration <input type="checkbox"/> Sector 5 – Transmission-dependent Utility <input checked="" type="checkbox"/> Sector 6 – Merchant Electricity Generator <input type="checkbox"/> Sector 7 – Electricity Marketer <input type="checkbox"/> Sector 8 – Large End Use Electricity Customer <input type="checkbox"/> Sector 9 – Small End Use Electricity Customer <input type="checkbox"/> Sector 10 – ISO/RTO <input type="checkbox"/> Sector 12 – Government Representatives <ul style="list-style-type: none"> <input type="checkbox"/> U.S. State <input type="checkbox"/> U.S. Federal <input type="checkbox"/> Canadian Federal <input type="checkbox"/> Canadian Provincial <input type="checkbox"/> At-Large
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Nominator Information

Nominated by (please check one)	<input type="checkbox"/> Individual <input type="checkbox"/> Organization <input checked="" type="checkbox"/> Self-nomination
Name	
Organization	
Email Address	
Telephone	